DOCKET NO.: 99-14 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Paul A. Keifer

Serial No.:

To be assigned

Filed:

September 26, 2003

For:

TEMPERATURE CONTROLLED

SAMPLE CHANGER FOR NMR

ANALYSIS

Examiner: To be assigned

Art Unit: 2862

I, Debbie Kus, hereby certify that on <u>September 26, 2003</u>, this correspondence is being deposited with the United States Postal Service as Express Mail, No. <u>EV 094 353 931 US</u> in an envelope addressed to the Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date Signed

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the Applicant's duty of disclosure under 37 CFR §1.56 and further in accordance with the provisions of 37 CFR §1.97 and 1.98, the Examiner is requested to consider and cite the references listed on the enclosed form.

The filing of an Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be prior art or material to patentability as defined by §1.56.

A copy of the non-patent reference is enclosed for the Examiner's convenience.

Consideration and citation of the references are respectfully requested and deemed in order.

Respectfully submitted,

Date: September 26, 2003

Varian, Inc. Legal Department 3120 Hansen Way, D-102 Palo Alto, CA 94304 (650) 424-5403 Edward H. Berkowitz Attorney for Applicant Registration No. 27,771

Substitute for form 1449/PTO		Complete if Known		
Capatitate for form 14	45/1.10		Application Number	
INICODMA	TION DI	CCI OCUDE	Filing Date	September 26, 2003
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			First Named Inventor	Paul A. Keifer
			Art Unit	
(Use as many sheets as necessary)		Examiner Name		
heet 1	of	2	Attorney Docket Number	99-14 US

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 4,091,323	05/23/1978	Paul W. Landis	
	2	^{US-} 4,120,662	10/17/1978	Egil Fosslien	
	3	^{US-} 4,581,583	04/08/1986	Van Vliet et al.	
	4	^{US-} 5,237,276	08/17/1993	David G. Cory	
	5	^{US-} 5,847,564	12/08/1998	Smallcombe et al.	
	6	^{US-} 5,952, 831	09/14/1999	Yamakoshi et al.	
	7	^{US-} 6,218,835	04/17/2001	Stephen H. Smallcombe	
	8	^{US-} 6,414,491	07/02/2002	Higgins et al.	
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		FORE	GN PATENT DOCU	MENTS		
Examiner Cite Initials* No.1	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

The indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the Inis collection or information is required by 37 CPR 1.37 and 1.95. The information is required to obtain or retain a benefit by the public which is to the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CPR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/08B (04-03)

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Complete if Known Substitute for form 1449/PTO **Application Number** Filing Date INFORMATION DISCLOSURE September 26, 2003 STATEMENT BY APPLICANT First Named Inventor Paul A. Keifer Art Unit (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number Sheet 2 99-14 US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	9	Article by SMALLCOMBE et al., entitled "WET Solvent Suppression and Its Applications to LC NMR and High-Resolution NMR Spectroscopy", published by Journal of Magentic Resonance, Series A 117, 295-303 (1995).	
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Signature		Considered			
Examiner		Date			

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